

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): James D. Hansa et al.

Docket No.

40002-10083

Serial No.

09/739,857

Filing Date

December 18, 2000

Examiner

Elen F. Pratt

Group Art Unit

1761

Invention: Oat and Corn Products Containing Added Ingredients and Method

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TC 1700

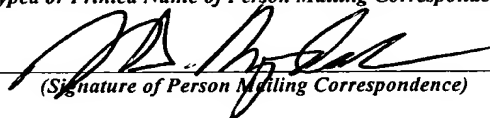
I hereby certify that this Supplemental IDS, IDS Citation Form, Three (3) References and Associated Documents
(Identify type of correspondence)

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(Date)

James D. Ryndak

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)



PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

19

Application Number

09/739,857

Filing Date

12/18/2000

First Named Inventor

James D. Hansa et al

Art Unit

1761

Examiner Name

Helen F. Pratt

Attorney Docket Number

40002-10083

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AUG 25 2003
TC 1700**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request

Supplemental
Information Disclosure StatementCertified Copy of Priority
Document(s)Response to Missing Parts/
Incomplete ApplicationResponse to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

Remarks

After Allowance Communication
to a Technology Center (TC)Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please
Identify below):Concise Explanation of Relevance of Non-English Language
Documents; IDS Citation Form PTO/SB/08A; Three (3) IDS
Citation References; Check in Amount of \$180.00 Filing Fee;
Return Receipt Postcard**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
or
Individual

Ryndak & Suri

Signature

Date

August 18, 2003

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Typed or printed

James D. Ryndak

Signature

Date

08/18/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/739,857
Filing Date 12/18/2000
First Named Inventor James D. Hansa et al.
Examiner Name Helen F. Pratt
Art Unit 1761
Attorney Docket No. 40002-10083

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TC 1700

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

50-0503

Ryndak & Suri

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) James D. Ryndak
Registration No. (Attorney/Agent) 28,754
Telephone 312-214-7770
Signature [Signature]
Date 08/18/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CONCISE EXPLANATION OF RELEVANCE OF
NON-ENGLISH LANGUAGE DOCUMENTS**

Applicant provides the following explanation of relevance of the non-English language documents to the extent the foreign language document is understood or as otherwise indicated.

1. **German Auslegeschrift 1 012 157** – This reference appears to disclose a method for applying vitamins to cereal and cereal products.



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Patent
Serial No. 09/739,857

James D. Ryndak
(Typed or printed name of person mailing paper or fee)

Attorney Docket
No. 40002-10083


(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : James D. Hansa et al.
Appl. No. : 09/739,857
Filed : December 18, 2000
Title : Oat and Corn Products Containing Added
Ingredients and Method
Grp./A.U. : 1761
Examinaer : Helen F. Pratt
Docket No. : 40002-10083

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO/SB/08A which were cited in a communication from a foreign patent office in a counterpart foreign application after the Notice of Allowance mail date. A copy of each listed document is enclosed.

Regarding the documents listed on the attached PTO/SB/08A, Applicants believe the same may qualify as "prior" art to this application


and should be treated accordingly, although Applicants reserve the right to contest the prior art status of any document cited herein.

Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO/SB/08A or a concise explanation of the relevance of the document, to the extent the foreign reference is understood, is set forth in the attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."

Pursuant to 37 C.F.R. 1.97(e), this Statement is being filed within three (3) months from the date of the foreign search report. Payment of \$180.00 in accordance with 37 C.F.R. § 1.17(p) is enclosed.

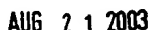
The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0503.

Respectfully submitted,

By: 
James D. Ryndak
Reg. No. 28,754
Attorney for Applicants

Dated: August 18, 2003

RYNDAK & SURI
30 N. LaSalle Street, Suite 2630
Chicago, IL 60602
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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	09/739,857
Filing Date	12/18/2000
First Named Inventor	James D. Hansa et al
Art Unit	1761
Examiner Name	Helen F. Pratt
Attorney Docket Number	40002-10083

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		DE 30 03 158 A1	08-20-1981	Baensh Tetra Werke		✓
		DE 10 12 157 B	07-11-1957	Hoffmann La Roche		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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